# IN THE CIRCUIT COURT FOR MORGAN COUNTY NINTH JUDICIAL DISTRICT THE STATE OF TENNESSEE

MARCIA GOLDBERG, Individua on Behalf of All Others Similarly Plainti	Situated, )  Judge Pemberton
VS.	)
DELOY MILLER, et al,	)
Defend	lants. )
KENNETH GAYNOR, Individual Behalf of All Others Similarly Situ Plainti	uated, )  Judge Pemberton
vs.	) )
DELOY MILLER, et al,	)
Defend	lants. )

SUPPLEMENTAL AFFIDAVIT OF ROSS D. MURRAY REGARDING NOTICE DISSEMINATION AND REQUESTS FOR EXCLUSION RECEIVED TO DATE

STATE OF CALIFORNIA	)
	) ss
COUNTY OF MARIN	)

### I, ROSS D. MURRAY, being duly sworn, deposes and says:

- 1. I am employed as a Vice President of Securities by Gilardi & Co. LLC ("Gilardi"), located at 1 McInnis Parkway, Suite 250, San Rafael, California. Pursuant to this Court's March 8, 2023 Order Preliminarily Approving Settlement Pursuant to Tenn. R. Civ. P. 23.03 and Permitting Notice to the Class ("Notice Order"), Gilardi was appointed as the Claims Administrator in connection with the proposed Settlement of the above-captioned litigation (the "Litigation"). I oversaw the notice services that Gilardi provided in accordance with the Notice Order.
- 2. I submit this affidavit as a supplement to my earlier affidavit, the Affidavit of Ross D. Murray Regarding Notice Dissemination, Publication, and Requests for Exclusion Received to Date (the "Initial Mailing Affidavit"). The following statements are based on my personal knowledge and information provided to me by other Gilardi employees and if called to testify I could and would do so competently.

#### UPDATE ON DISSEMINATION OF THE CLAIM PACKAGE

- 3. As more fully detailed in the Initial Mailing Affidavit, as of May 3, 2023, Gilardi had mailed 14,300 copies of the Court-approved Notice of Pendency of Class Action, Proposed Class Action Settlement, and Motion for Attorneys' Fees and Expenses (the "Notice") and Proof of Claim and Release form (the "Proof of Claim") (collectively, the "Claim Package") to potential Settlement Class Members and their nominees. *See* Initial Mailing Affidavit, ¶10.
- 4. Since May 3, 2023, Gilardi has mailed an additional 8 copies of the Claim Package in response to requests from potential Settlement Class Members, brokers, and nominees and as a result of mail returned as undeliverable for which new addresses were identified and re-mailed to

those new addresses. Therefore, as of June 1, 2023, Gilardi has mailed a total of 14,308 Claim Packages to potential Settlement Class Members and nominees.

#### REQUESTS FOR EXCLUSION RECEIVED TO DATE

- 5. Pursuant to the Notice Order, the Notice informed potential Settlement Class Members that written requests for exclusion from the Settlement Class must be mailed to *Miller Energy Securities Litigation*, c/o Gilardi & Co. LLC, ATTN: EXCLUSIONS, P.O. Box 5100, Larkspur, CA 94977-5100, such that they are received no later than May 23, 2023. At the time of the Initial Mailing Affidavit, Gilardi reported that it had not received any requests for exclusion in connection with this Settlement. *See* Initial Mailing Affidavit, ¶15.
- 6. Since the Initial Mailing Affidavit was executed, and as of the date of this affidavit, Gilardi has not received any requests for exclusion.

I state under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 1st day of June, 2023, at San Rafael, California.

ROSS D. MURRAY

CIVIL CODE §1189

DEREK FREDRICK SMITH COMM. # 2326727

MY COMMISSION EXPIRES

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA	)	
	)	SS
COUNTY OF MARIN	)	

SUBSCRIBED AND SWORN TO (or affirmed) before me on this 1st day of June 2023, by 1965 1960 Must y proved to me on the basis of satisfactory evidence to be the person who appeared before me.

NOTARY PUBLIC

# **CERTIFICATE OF SERVICE**

I hereby certify that on June 2, 2023, a true and exact copy of the foregoing document has been served via email or First Class Mail to all parties on the attached Service List.

ELLEN GUSIKOFF STEWART

## **SERVICE LIST**

Jack Reise
Stephen R. Astley
Bailie L. Heikkinen
ROBBINS GELLER RUDMAN
& DOWD LLP
225 NE Mizner Boulevard, Suite 720
Boca Raton, FL 33432
jreise@rgrdlaw.com
sastley@rgrdlaw.com
bheikkinen@rgrdlaw.com

Ellen Gusikoff Stewart
ROBBINS GELLER RUDMAN
& DOWD LLP
655 West Broadway, Suite 1900
San Diego, CA 921010
elleng@rgrdlaw.com

Curtis V. Trinko LAW OFFICES OF CURTIS V. TRINKO 39 Sintsink Drive West - 1st Floor Port Washington, NY 11050 ctrinko@trinko.com

Attorneys for Plaintiffs

Lawrence P. Leibowitz Brandon J. Tindell LEIBOWITZ LAW FIRM, PLLC 608 South Gay Street, Suite 200 Knoxville, TN 37902-1637 lpl@leibowitzfirm.com bjt@leibowitzfirm.com

Attorneys for Defendants Don A. Turkleson, Bob G. Gower, Joseph T. Leary, and Marceau N. Schlumberger Christopher M. Wood ROBBINS GELLER RUDMAN & DOWD LLP 414 Union Street, Suite 900 Nashville, TN 37219 cwood@rgrdlaw.com

Jerry E. Martin
BARRETT JOHNSTON MARTIN
& GARRISON, LLC
Bank of America Plaza
414 Union Street, Suite 900
Nashville, TN 37219
jmartin@barrettjohnston.com

Taylor A. Williams
Thomas H. Jarvis
PAINE, TARWATER, and
BICKERS, LLP
900 South Gay Street, Suite 2200
Knoxville, TN 37902
taw@painetarwater.com
thj@painetarwater.com

Attorneys for Defendant David Voyticky

Stephen A. Marcum MARCUM & PETROFF, P.C. 3 Courthouse Square P.O. Box 240 Huntsville, TN 37756 smarcum@marcumlaw.net

Attorney for Defendants Deloy Miller and William B. Richardson

Ronald T. Hill EGERTON, MCAFEE, ARMISTEAD & DAVIS P.C. P.O. Box 2047 Knoxville, TN 37901 rhill@emlaw.com

Attorney for Defendants Northland Capital Markets, Dominick & Dominick, LLC (n/k/a Dominick & Dickerman LLC), and I-Bankers Securities, Inc.

E. Richards Brabham, III KENNERLY, MONTGOMERY & FINLEY, P.C. 550 Main Street First Floor, Bank of America Center Knoxville, TN 37902 rbrabham@kmfpc.com

Attorney for Defendant Maxim Group, LLC

Wayne A. Ritchie II James R. Stovall RITCHIE, DAVIES, JOHNSON & STOVALL, P.C. 606 West Main Street, Suite 300 Knoxville, TN 37902 war@rdjslaw.com jstovall@rdjslaw.com

Attorneys for Defendant Aegis Capital Corp.

James E. Wagner FRANTZ, MCCONNELL & SEYMOUR, LLP P.O. Box 39 550 West Main Street, Suite 500 Knoxville, TN 37901 jwagner@fmsllp.com

Edward D. Totino Perrie M. Weiner BAKER & McKENZIE LLP 1901 Avenue of the Stars, Suite 950 Los Angeles, CA 90067 edward.totino@bakermckenzie.com perrie.weiner@bakermckenzie.com

Attorneys for Defendant Ladenburg Thalmann & Co. Inc. (n/k/a Ladenburg Thalmann Financial Services Inc.)

Nicholas W. Diegel BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ, PC 265 Brookview Centre Way, Suite 600 Knoxville, TN 37919 ndiegel@bakerdonelson.com

Jeffrey B. Korn Sameer Advani WILKIE FARR & GALLAGHER LLP 787 Seventh Avenue Ney York, NY 10019 jkorn@wilkie.com sadvani@wilkie.com

Attorneys for Defendants MLV & Co. LLC and National Securities Corporation

Charles M. Stivers 118 Richmond Road Manchester, KY 40962 charlesmstivers@hotmail.com

Catherine Rainey 380 Maple Street, Unit 1807 Gallatin, TN 37066 carainey1130@gmail.com

Gerald Hannahs 17710 Leatha Lane Little Rock, AR 72223 filly@arkansas.net

Pro Se Defendants

Jordan T. Newport HAGOOD MOODY HODGE PLC 900 South Gay Street, Suite 2100 Knoxville, TN 37902 jnewport@hagoodmoodyhodge.com

Attorney for Defendant Scott Boruff

David Hall 48110 David Hall Road Kenai, AK 99611 d.hallak907@gmail.com

General Merrill A. McPeak USAF (Ret.) 3550 SW Bond Avenue, # 2204 Portland, OR 97239 mamcpeak@comcast.net